

Docket No.: 26119.100 US1



SECTOR  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

BETTIS et al.

Serial No. : 09/597,742

APPLICATIONS BRANCH

Filed: June 19, 2000

For: SYSTEM, METHOD AND COMPUTER READABLE MEDIUM CONTAINING  
INSTRUCTIONS FOR EVALUATING AND DISSEMINATING INVESTOR  
PERFORMANCE INFORMATION

RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION

Honorable Commissioner of  
Patents and Trademarks  
Washington D.C. 20231

Sir:

In response to the Notice of Missing Parts of Application dated August 16, 2000,  
submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Late Filing Fee Surcharge of \$130.00
3. Assignment and Fee of \$40.00
4. Petition for 2-month Extension of Time and Fee of \$390.00

Please charge Deposit Account No. 08-0219 in the amount of \$560.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 08-0219 and please credit any excess fees to such deposit account.

[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-0219. A duplicate copy is enclosed.

[X] Any additional filing fees required under 37 CFR 1.16.

[X] The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 08-0219. A duplicate copy of this sheet is enclosed.

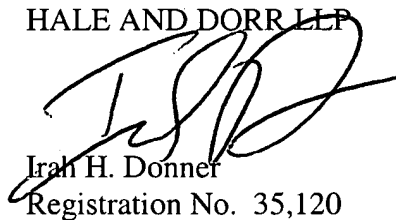
[X] Any patent application processing fees under 37 CFR 1.17.

[X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

It is requested that the official filing receipt now be issued.

Respectfully submitted,

HALE AND DORR LLP

A handwritten signature in black ink, appearing to read "Ira H. Donner", is written over the printed name and registration number.

Ira H. Donner

Registration No. 35,120

The Willard Office Building  
1455 Pennsylvania Avenue, NW  
Washington, DC 20004  
202 942 8400 IHD:lrr  
Date: December 15, 2000  
202 942 8484 facsimile

## FORMALITIES LETTER

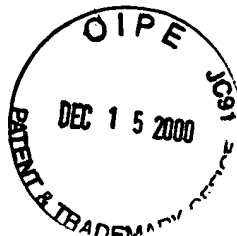


\*OC00000005325528\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/597,742	06/19/2000	-	112453.101

24395  
HALE & DORR LLP  
THE WILLARD OFFICE BUILDING  
1455 PENNSYLVANIA AVE, NW  
WASHINGTON, DC 20004



Date Mailed: 08/16/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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